

(On Member's Letter-Head)

**Application for approval as Approved Trading User**

Member ID No.: \_\_\_\_\_

To

**Ace Derivatives and Commodity Exchange Limited**

6<sup>th</sup> Floor – Zone II, Kotak Infinity,  
Building No. 21, Infinity IT Park,  
Gen. A K Vaidya Marg, Dindoshi,  
Malad East,  
Mumbai 400 097  
Fax No.: 022-6708 0616

Dear Sir,

**Sub: Application for new Trading User ID**

I/We \_\_\_\_\_ (*Member Name*) hereby  
apply \_\_\_\_\_ for the new User ID in the name of  
Mr./Ms. \_\_\_\_\_ (*Name of the User*) to act as our  
Approved User for trading on my/our behalf through MPLS Leased Line/ Internet/VSAT.

1.	Status & Address of the office where terminal is to be located.	Head office/Regd. Office/Dealing Office	
		Address:	
		City:	Pin Code:
		State:	Phone No:
		Fax No:	Mobile No:
E Mail ID:			
2.	Name of the person for whom this application for allotment of User ID is made (expand all initials)		
3.	Age of Approved user		
4.	Father's name of Approved user		
5.	Address of the Approved user	Address:	
		City:	Pin Code:
		State:	Phone No:
		Fax No:	Mobile No:
		E Mail ID:	
6.	Qualification of Approved user		
7.	PAN of Approved user <b>(Copy of PAN card to be attached)</b>		
8.	Nationality of Approved user		

9.	Relationship of the proposed User with the Member i.e. an employee, agent on	
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	commission basis, client or others. (Pls. specify)	
10.	Connectivity Type (from where the proposed User would operate)	<input type="checkbox"/> MPLS Leased Line (Wireline) <input type="checkbox"/> MPLS Leased Line (Wireless) <input type="checkbox"/> VSAT <input type="checkbox"/> Internet
11.	MPLS Leased Line/VSAT IP Address (only for existing members)	
12.	User ID to be used on Internet (In case of Application for MPLS Leased Line/VSAT User ID)	_____ (YES / NO)
13.	No. of Existing User IDs at the above MPLS Leased Line / VSAT	
14.	Role ID	_____ (Admin / Trader)
15.	Proprietary Account Trading	<input type="checkbox"/> Enable* <input type="checkbox"/> Disable

\* The members are required to submit Proprietary Account application / undertaking as stated in Circular No: ACE/T&S-012/2012/088 dated July 27, 2012 along with this application form.

I/We hereby agree and bind myself/ourselves to be responsible for all acts, quotations made and transactions done or effected by the said Mr. /Mrs. \_\_\_\_\_ as our Approved User on the Trading system of the Ace Derivatives and Commodity Exchange Limited (Ace)

In case of the said Mr. / Ms. \_\_\_\_\_ ceasing to be associated with me /us, I/we shall communicate to Ace the same along with related details and seek change/cancellation in the user ID so allotted against this application, as prescribed.

I/We certify that I/we have not applied for any other user ID in the name of the said Mr./Ms.\_\_\_\_\_. The User ID so allotted would be used by the said Mr. /Ms. \_\_\_\_\_ only to access the Ace trading system.

I/We certify that approved user shall clear the Certification as prescribed by Ace.

Name of Approved User: \_\_\_\_\_

\_\_\_\_\_  
(Signature of Approved User)

For \_\_\_\_\_(Name of Member)

Signature \_\_\_\_\_  
(Authorized Signatory)

Rubber stamp

Date: \_\_\_\_\_

Place: \_\_\_\_\_

Note: User ID's shall be allotted after the Exchange is satisfied that the same can be allotted and at the discretion of the Exchange.

## UNDERTAKING FOR USER ID

(On a Non-Judicial stamp paper of Rs. 300/- or the same can also be franked)

Whereas at our request made through M/s. \_\_\_\_\_ (Mem Name), (Member ID NO \_\_\_\_\_) who is a member of ACE DERIVATIVES AND COMMODITY EXCHANGE LIMITED (hereinafter referred to as "Ace"). Ace has agreed to provide additional User IDs to me/us and we hereby agree and bind myself/ourselves to be responsible for all acts, quotations made and transactions done or effected by the approved users on the Trading System of the Ace.

I/we hereby confirm that the terms and condition of the undertaking for connectivity has been read and understood by me/us and I/we hereby undertake to comply with the same.

I/We further undertake that I/we shall be responsible for all transactions done by the approved users using internet facility, if any, provided by Ace or for any risk arising out of internet use and shall not hold Ace responsible for any loss or risk arising from the use of internet trading.

Further, I/we \_\_\_\_\_ (Name of the member) confirm that Mr./Ms. \_\_\_\_\_ (Name of user) is not debarred/declared defaulter/suspended by SEBI/stock exchanges/other authorities.

I/we further confirm that I/we shall inform to Ace immediately whenever there is a change in our trading status/constitution due to above reasons.

Member Code \_\_\_\_\_

Approved User Code \_\_\_\_\_

Member Name \_\_\_\_\_  
\_\_\_\_\_

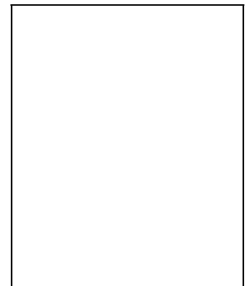
Approved User Name \_\_\_\_\_  
\_\_\_\_\_

Member Signature \_\_\_\_\_

Approved User Signature \_\_\_\_\_

Member Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Approved User Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



(Approved User Photograph)